

#4

PATENT
19603/871 (CRF D-1792A)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Bomshteyn et al.

Serial No : 09/089,894

Filed : June 3, 1998

For : BETULINOL DERIVATIVES

)
) Examiner:
) Unknown

)
) Art Unit:
) 1646

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231
Box: Missing Parts

Dear Sir:

Applicants hereby request a corrected filing receipt for the above-identified application. On the enclosed copy of the official filing receipt, the entity status is omitted. It should read "SMALL ENTITY", per the small entity declaration being filed with the enclosed Response to Notice to File Missing Parts.

In addition, the name of the first inventor reads "ARKADITY L. BOMSHTEYN" but should read "ARKADIY L. BOMSHTEYN", and the name of the third inventor reads "BRIJ B. SEXENA" but should read "BRIJ B. SAXENA". The signed combined declaration and power of attorney is enclosed.

Since Applicants are not responsible for these errors, no fee is enclosed.

Respectfully submitted,

Dated: November 19 1998

Michael L. Goldman
Registration No. 30,727

Nixon, Hargrave, Devans & Doyle LLP
Clinton Square
P. O. Box 1051
Rochester, New York 14603
Telephone: (716) 263-1304
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R189777.1

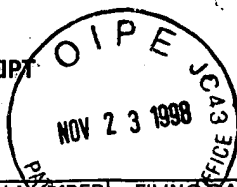
Certificate of Mailing - 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C 20231 on the date below.

11/19/98
Date

John A. Whalen
Jo Ann Whalen

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/089,894	06/03/98	1646	\$1,036.00	19603/871(CR	1	51	11

PETER ROGALSKYJ
NIXON HARGRAVE DEVANS & DOYLE
CLINTON SQUARE
P O BOX 1051
ROCHESTER NY 14603

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

ARKADITY L. BOMSHTEYN, BROOKLYN, NY; PREMILA RATHNAM,
ENGLEWOOD CLIFFS, NJ; BRIJ B. SEXENA, ENGLEWOOD, NJ.

CONTINUING DATA AS CLAIMED BY APPLICANT-
PROVISIONAL APPLICATION NO. 60/048,621 06/04/97

FOREIGN FILING LICENSE GRANTED 06/18/98
TITLE
BETULINOL DERIVATIVES

PRELIMINARY CLASS: 424

ENTERED
NHDD

JUL - 1 1998

FILE

DKT

19603/871

[Handwritten signature]

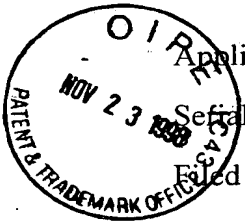
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Bomshteyn et al.

Serial No. : 09/089,894

Filed : June 3, 1998

For : BETULINOL DERIVATIVES



TRANSMITTAL LETTER

Assistant Commissioner For Patents
Washington, D.C. 20231
Box: Missing Parts

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(d), which was mailed by the United States Patent and Trademark Office on **June 19, 1998**, enclosed are:

- ☒ A Request for Three-Month Extension of Time.
- ☒ A Combined Declaration and Power of Attorney.
- ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
- ☒ Supplemental Information Disclosure Statement (1 page) and PTO-1449 (1 page, in duplicate) with copy of International Search Report and 2 references.
- ☒ A Request for Corrected Filing Receipt and Copy of Official Filing Receipt.
- ☒ A copy of the Notice to File Missing Parts.
- ☒ A self-addressed, prepaid postcard for acknowledging receipt.
- ☒ Fees as calculated below:

FEE FOR THREE-MONTH EXTENSION OF TIME	\$435.00
SURCHARGE 37 CFR 1.16(e)	\$ 65.00
ADDITIONAL FEES	\$
TOTAL FEES SUBMITTED HEREWITH	\$500.00

- ☒ A check in the amount of **\$500.00** to cover the above fees.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 14-1138. A duplicate copy of this sheet is enclosed.

Date: November 19, 1998

Michael L. Goldman
Registration No. 30,727

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Certificate of Mailing - 37 CFR 1.8(a)	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the date below.	
11/19/98 Date	 Jo Ann Whalen



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

09-089,094 06/03/98 ENLIGHTEN A - 19603/2710R

PETER RUGALESKY
NIXON HARGRAVE DEVANS & DOYLE
CLINTON SQUARE
P O BOX 1051
ROCHESTER NY 14603

1646

06/19/98

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1222.

- ☐ 1. The statutory basic filing fee is:

☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. Additional claim fees of \$_____, including any multiple dependent claim fees, are required

\$ 410 for 8 independent claims over 3.

\$ 682 for 3 dependent claims over 20.

\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

19603/871 dlf
REC'D SE DUE
FUGUE 19, 1998